

**PERIODIC DISCLOSURES**  
**FORM NL-12A-INVESTMENT SCHEDULE - SHAREHOLDERS**



Royal Sundaram General Insurance Co. Ltd  
(Formerly known as Royal Sundaram Alliance Insurance Company Ltd)

31-Dec-17



| No. | Particulars  | As at 31st Dec 2017 | As at 31st Dec 2016 |
|-----|--|---------------------|---------------------|
|     |  | (`000)              | (`000)              |
|     | <b>LONG TERM INVESTMENTS</b>   |                     |                     |
| 1   | Government securities and Government guaranteed bonds including Treasury Bills | 27,31,666           | 22,01,998           |
| 2   | Other Approved Securities  | -                   | -                   |
| 3   | Other Investments  |                     |                     |
|     | (a) Shares   | -                   | -                   |
|     | (aa) Equity  | -                   | -                   |
|     | (bb) Preference  | -                   | -                   |
|     | (b) Mutual Funds   | -                   | -                   |
|     | (c) Derivative Instruments   | -                   | -                   |
|     | (d) Debentures/ Bonds  | 25,79,416           | 15,05,813           |
|     | (e) Other Securities (to be specified)   | -                   | -                   |
|     | (f) Subsidiaries   | -                   | -                   |
|     | (g) Investment Properties-Real Estate  | -                   | -                   |
| 4   | Investments in Infrastructure and Social Sector                                | 15,14,196           | 12,05,138           |
| 5   | Other than Approved Investments-Alternative Investment Funds                   | 1,55,496            | 1,44,364            |
|     | <b>SHORT TERM INVESTMENTS</b>  |                     |                     |
| 1   | Government securities and Government guaranteed bonds including Treasury Bills | 82,612              | 9,738               |
| 2   | Other Approved Securities  | -                   | -                   |
| 3   | Other Investments  |                     |                     |
|     | (a) Shares   | -                   | -                   |
|     | (aa) Equity  | 6,21,879            | 3,13,190            |
|     | (bb) Preference  | -                   | -                   |
|     | (b) Mutual Funds   | 1,75,989            | 89,584              |
|     | (a) Derivative Instruments   | -                   | -                   |
|     | (b) Debentures/ Bonds  | 5,55,608            | 3,60,914            |
|     | (c) Other Securities-Certificate of Deposit/CBLO/CP                            | 74,991              | 2,49,559            |
|     | (d) Subsidiaries   | -                   | -                   |
|     | (e) Investment Properties-Real Estate  | -                   | -                   |
| 4   | Investments in Infrastructure and Social Sector                                | 92,766              | 2,32,908            |
| 5   | Other than Approved Investments  | 85,019              | -                   |
|     | <b>TOTAL</b>   | <b>86,69,638</b>    | <b>63,13,206</b>    |

**FORM NL-12B-INVESTMENT SCHEDULE - POLICYHOLDERS**

| No. | Particulars  | As at 31st Dec 2017 | As at 31st Dec 2016 |
|-----|--|---------------------|---------------------|
|     |  | (`000)              | (`000)              |
|     | <b>LONG TERM INVESTMENTS</b>   |                     |                     |
| 1   | Government securities and Government guaranteed bonds including Treasury Bills | 1,05,41,128         | 90,90,299           |
| 2   | Other Approved Securities  | -                   | -                   |
| 3   | Other Investments  |                     |                     |
|     | (a) Shares   | -                   | -                   |
|     | (aa) Equity  | -                   | -                   |
|     | (bb) Preference  | -                   | -                   |
|     | (b) Mutual Funds   | -                   | -                   |
|     | (c) Derivative Instruments   | -                   | -                   |
|     | (d) Debentures/ Bonds  | 99,53,618           | 62,16,305           |
|     | (e) Other Securities (to be specified)   | -                   | -                   |
|     | (f) Subsidiaries   | -                   | -                   |
|     | (g) Investment Properties-Real Estate  | -                   | -                   |
| 4   | Investments in Infrastructure and Social Sector                                | 58,43,074           | 49,75,057           |
| 5   | Other than Approved Investments-Alternative Investment Funds                   | 6,00,037            | 5,95,964            |
|     | <b>SHORT TERM INVESTMENTS</b>  |                     |                     |
| 1   | Government securities and Government guaranteed bonds including Treasury Bills | 3,18,787            | 40,204              |
| 2   | Other Approved Securities  | -                   | -                   |
| 3   | Other Investments  |                     |                     |
|     | (a) Shares   | -                   | -                   |
|     | (aa) Equity  | 23,99,746           | 12,92,915           |
|     | (bb) Preference  | -                   | -                   |
|     | (b) Mutual Funds   | 6,79,119            | 3,69,818            |
|     | (a) Derivative Instruments   | -                   | -                   |
|     | (b) Debentures/ Bonds  | 21,44,013           | 14,89,926           |
|     | (c) Other Securities-Certificate of Deposit/CBLO/CP                            | 2,89,380            | 10,30,232           |
|     | (d) Subsidiaries   | -                   | -                   |
|     | (e) Investment Properties-Real Estate  | -                   | -                   |
| 4   | Investments in Infrastructure and Social Sector                                | 3,57,972            | 9,61,490            |
| 5   | Other than Approved Investments  | 3,28,078            | -                   |
|     | <b>TOTAL</b>   | <b>3,34,54,952</b>  | <b>2,60,62,210</b>  |

**Notes:**

- Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.
- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956:
- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to
  - Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic
  - Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint
  - Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.
- Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market
- Investments made out of Catastrophe reserve should be shown separately.
- Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to
- Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital
- Investments maturing within twelve months from balance sheet date and investments made with the specific